

## PART B - FEE(S) TRANSMITTAL

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7590

07/13/2004

Docket Administrator  
 Lucent Technologies Inc.  
 (Room 3J-219)  
 101 Crawfords Corner Road  
 Holmdel, NJ 07733-3030



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|                      |                    |
|----------------------|--------------------|
| <i>Patty Giebler</i> | (Depositor's name) |
| <i>Patty Giebler</i> | (Signature)        |
| <i>10/4/04</i>       | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR  | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|-----------------------|---------------------|------------------|
| 10/762,976      | 01/22/2004  | Christoph Georg Erben | ERBEN 5-13-53-5     | 6107             |

TITLE OF INVENTION: ELECTRO-OPTICAL MODULATORS

10/12/2004 HBERHE1 00000019 10762976

01 FC:1501 1370.00 DA  
 02 FC:1504 300.00 DA

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE                | PUBLICATION FEE | TOTAL FEE(S) DUE         | DATE DUE   |
|----------------|--------------|--------------------------|-----------------|--------------------------|------------|
| nonprovisional | NO           | <del>\$1550</del> \$1370 | \$300           | <del>\$1630</del> \$1670 | 10/13/2004 |

| EXAMINER         | ART UNIT | CLASS-SUB CLASS |
|------------------|----------|-----------------|
| VALENTIN, JUAN D | 2877     | 385-002000      |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. John F. McCabe

2. \_\_\_\_\_

3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE: **LUCENT TECHNOLOGIES INC.** (B) RESIDENCE: (CITY and STATE OR COUNTRY)**MURRAY HILL, NJ 07974****STATE OF DELAWARE**

10/12/2004 HBERHE1 00000019 122325

~~01 FC:1501 1370.00 DA~~  
~~02 FC:1504 300.00 DA~~

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

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- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

**12-2325**

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

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(Authorized Signature)

(Date)

*Patty Giebler**10/4/04*

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 14 Alexandria, Virginia 22313-1450.

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